

## Reading

Recommended:

- Stollnitz, DeRose, and Salesin. Wavelets for Computer Graphics: Theory and
Applications, 1996, section 6.1-6.3, A.5.

Note: there is an error in Stollnitz, et al., section
A.5. Equation A. 3 should read:
$\mathbf{M V}=\mathbf{V} \Lambda$

## Subdivision curves

## Chaikin's algorithm

Chakin introduced the following "corner-cutting" scheme in 1974:

- repeatedly refine the control polygon

$$
P^{1} \rightarrow P^{2} \rightarrow P^{3} \rightarrow \cdots
$$

- curve is the limit of an infinite process

$$
Q=\lim _{j \rightarrow \infty} P^{j}
$$






- Start with a piecewise linear curve
- Insert new vertices at the midpoints (the splitting step)
- Average each vertex with the "next" (clockwise) neighbor (the averaging step)
- Go to the splittina sted

Old vertex New vertex


1. Split

2. Spli
3. Average

## Averaging masks

The limit curve is a quadratic $B$-spline!
Instead of averaging with the nearest neighbor, we can generalize by applying an averaging mask during the averaging step:

$$
r=\left(\ldots, r_{-1}, r_{0}, r_{1}, \ldots\right)
$$

In the case of Chaikin's algorithm:

$$
r=
$$

Can we generate other B-splines?

Answer: Yes
Lane-Riesenfeld algorithm (1980)
Use averaging masks from Pascal's triangle:

$$
r=\frac{1}{2^{n}}\left(\binom{n}{0},\binom{n}{1}, \cdots,\binom{n}{n}\right)
$$

Gives B-splines of degree $n+1$.

| $\mathrm{n}=0$ : | 1 |
| :---: | :---: |
| $\mathrm{n}=1$ : | 1 |
|  | 11 |
| $\mathrm{n}=2$ : | 1 |
|  | 11 |
|  | 12 |

## Subdivide ad nauseum?

## One subdivision step

Consider the cubic B-spline subdivision mask:

```
\frac{1}{4}(\begin{array}{lll}{1}&{2}&{1}\end{array})
```

How many steps until we reach the final (limit) position?

Now consider what happens during splitting and averaging:
Can we push a vertex to its limit position without infinite subdivision? Yes!



## Consolidated math for one step

Subdivision mask:

$$
\frac{1}{4}\left(\begin{array}{lll}
1 & 2 & 1
\end{array}\right)
$$

One subdivision step:


Consolidated math for one subdivision step:

$$
\begin{gathered}
{\left[\begin{array}{l}
\mathbf{a} \\
\mathbf{b} \\
\mathbf{c}
\end{array}\right]=\frac{1}{8}\left[\begin{array}{lll}
4 & 4 & 0 \\
1 & 6 & 1 \\
0 & 4 & 4
\end{array}\right]\left[\begin{array}{l}
\mathbf{A} \\
\mathbf{B} \\
\mathbf{C}
\end{array}\right]} \\
P_{j+1} \underset{\substack{\text { Local subdivision } \\
\text { matrix ' } \mathrm{S}^{\prime}}}{P_{j}} P_{j} \\
\hline
\end{gathered}
$$

## Local subdivision matrix, cont'd

Tracking just the $x$ components through subdivision:

$$
P_{j}=S P_{j-1}=S \cdot S P_{j-2}=S \cdot S \cdot S P_{j-3}=\cdots=S^{j} P_{0}
$$

The limit position of the $x$ 's is then:

$$
P_{\infty}=S^{\infty} P_{0}
$$

or as we'd say in calculus...

$$
P_{\infty}=\lim _{j \rightarrow \infty} S^{j} P_{0}
$$

OK, so how do we apply a matrix an infinite number of times??

## Eigenvectors and eigenvalues

To solve this problem, we need to look at the eigenvectors and eigenvalues of $S$. First, a review...

Let $v$ be a vector such that:

$$
S v=\lambda v
$$

We say that $v$ is an eigenvector with eigenvalue $\lambda$.
An $n \times n$ matrix can have $n$ eigenvalues and eigenvectors:

$$
\begin{gathered}
S V_{1}=\lambda_{1} v_{1} \\
\vdots \\
S V_{n}=\lambda_{n} v_{n}
\end{gathered}
$$

If the eigenvectors are linearly independent (which means that $S$ is non-defective), then they form a basis, and we can re-write $P$ in terms of the eigenvectors:

$$
P=\sum_{i}^{n} a_{i} v_{i}
$$

## To infinity, but not beyond...

Now let's apply the matrix to the vector X :

$$
P_{1}=S P_{0}=S \sum_{i}^{n} a_{i} v_{i}=\sum_{i}^{n} a_{i} S v_{i}=\sum_{i}^{n} a_{i} \lambda_{i} v_{i}
$$

Applying it $j$ times:

$$
P_{j}=S^{j} P_{0}=S^{j} \sum_{i}^{n} a_{i} v_{i}=\sum_{i}^{n} a_{i} S^{j} v_{i}=\sum_{i}^{n} a_{i} \lambda_{i}^{j} v_{i}
$$

Let's assume the eigenvalues are non-negative and sorted so that:

$$
\lambda_{1}>\lambda_{2}>\lambda_{3} \geq \cdots \geq \lambda_{n} \geq 0
$$

Now let $j$ go to infinity:

$$
P_{\infty}=\lim _{j \rightarrow \infty} S^{j} P_{0}=\lim _{j \rightarrow \infty} \sum_{i}^{n} a_{i} \lambda_{i}^{j} v_{i}
$$

If $\lambda_{1}>1$, then:
If $\lambda_{1}<1$, then:
If $\lambda_{1}=1$, then:

## Evaluation masks

What are the eigenvalues and eigenvectors of our cubic B-spline subdivision matrix?

$$
\begin{array}{lll}
\lambda_{1}=1 & \lambda_{2}=\frac{1}{2} & \lambda_{3}=\frac{1}{4} \\
v_{1}=\left(\begin{array}{l}
1 \\
1 \\
1
\end{array}\right) & v_{2}=\left(\begin{array}{c}
-1 \\
0 \\
1
\end{array}\right) & v_{3}=\left(\begin{array}{c}
2 \\
-1 \\
2
\end{array}\right)
\end{array}
$$

We're OK!
But what is the final position?

$$
\begin{aligned}
& P_{\infty}=\lim _{j \rightarrow \infty}\left(a_{1} \lambda_{1}^{j} v_{1}+a_{2} \lambda_{2}^{j} v_{2}+a_{3} \lambda_{3}^{j} v_{3}\right) \\
& P_{\infty}=
\end{aligned}
$$

Almost done... from earlier we know that we can find 'a', we but didn't give specifics.

## Evaluation masks, cont'd

To finish up, we need to compute $a_{1}$.

## Evaluation masks, cont'd

Note that we need not start with the $0^{\text {th }}$ level control points and push them to the limit.
Remember: $\quad P_{0}=a_{1} v_{1}+a_{2} v_{2}+\cdots+a_{n} v_{n}$
Rewrite as: $\quad P_{0}=\left[\begin{array}{cccc}\vdots & \vdots & & \vdots \\ v_{1} & v_{2} & \cdots & v_{n} \\ \vdots & \vdots & & \vdots\end{array}\right]\left[\begin{array}{c}a_{1} \\ a_{2} \\ \vdots \\ a_{n}\end{array}\right]=\mathbf{V} A$
We need to solve for the vector ' A '.
(This is really just a change of basis for representing the vector $P$ ). The solution is:

$$
\begin{gathered}
A=\mathbf{V}^{-1} P_{0} \\
{\left[\begin{array}{c}
a_{1} \\
a_{2} \\
\vdots \\
a_{n}
\end{array}\right]=\left[\begin{array}{ccc}
\cdots & u_{1}^{T} & \cdots \\
\cdots & u_{2}^{T} & \cdots \\
& \vdots & \\
\cdots & u_{n}^{T} & \cdots
\end{array}\right]}
\end{gathered}
$$

Now we can compute the limit position:

$$
P_{\infty}=a_{1}=u_{1}^{T} P_{0}
$$

We call $u_{1}$ the evaluation mask.

## Recipe for subdivision curves

## Derivative of subdiv. function

The evaluation mask for the cubic B-spline is:
What is the tangent to the cubic B -spline function?

Consider the formula for P again:

$$
\begin{aligned}
& P_{j}=a_{1} \lambda_{1}^{j} v_{1}+a_{2} \lambda_{2}^{j} v_{2}+a_{3} \lambda_{3}^{j} v_{3} \\
& P_{j}=a_{1}(1)^{j}\left(\begin{array}{l}
1 \\
1 \\
1
\end{array}\right)+a_{2}\left(\frac{1}{2}\right)^{j}\left(\begin{array}{l}
-1 \\
0 \\
1
\end{array}\right)+a_{3}\left(\frac{1}{4}\right)^{j}\left(\begin{array}{l}
2 \\
-1 \\
2
\end{array}\right)
\end{aligned}
$$

Where: polygon a few times. Use the averaging mask.

- Push the resulting points to the limit positions. Use the evaluation mask.


## Tangent analysis for 2D curve

What is the tangent to a parametric cubic B-spline 2D curve?

Using a similar derivation to what we just did for a 1D function (but omitting details):

$$
\begin{aligned}
\mathbf{t}= & \lim _{j \rightarrow \infty} \frac{P_{\text {Center }, j}-P_{\text {Left }, j}}{\left\|P_{\text {Center }, j}-P_{\text {Left }, j}\right\|} \\
& =\frac{u_{2}^{T} P_{0}}{\left\|u_{2}^{T} P_{0}\right\|}
\end{aligned}
$$

Thus, we can compute the tangent using the second left eigenvector! This analysis holds for general subdivision curves and gives us the tangent mask.

## Approximation vs. Interpolation of Control Points

Previous subdivision scheme approximated control points. Can we interpolate them?

Yes: DLG interpolating scheme (1987)
Slight modification to subdivision algorithm:

- splitting step introduces midpoints
- averaging step only changes midpoints

For DLG (Dyn-Levin-Gregory), use:
$r=\frac{1}{16}(-2,5,10,5,-2)$



Since we are only changing the midpoints, the points after the averaging step do not move.

## Next time: Animation Principles

Topic:
How does an artist make
a "good" animation?
Read:

- John Lasseter. Principles of traditional
animation applied to 3D computer animation. SIGGRAPH 1987.
[Course reader pp. 295-304]
Recommended:
- Frank Thomas and Ollie Johnston, Disney animation: The Illusion of Life, Hyperion, 1981.

